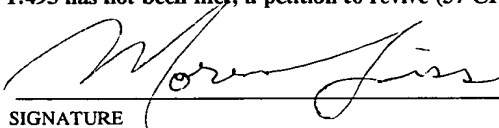


| | | |
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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 20234/0073 |
| | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/937,767 |
| INTERNATIONAL APPLICATION NO. PCT/GB00/01204 | INTERNATIONAL FILING DATE 29 March 2000 | PRIORITY DATE CLAIMED 29 March 1999 |
| TITLE OF INVENTION WRENCH | | |
| APPLICANT(S) FOR DO/EO/US BUCHANAN, Nigel | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter 16. <input checked="" type="checkbox"/> Other items or information: <p>Petition For Extension of Time; Second Request for Small Entity Assertion and Request For Refund</p> | | |

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|--|--------------|---|---|--|--------------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.51) 09/937,767 | | INTERNATIONAL APPLICATION NO. PCT/GB00/01204 | | ATTORNEY'S DOCKET NUMBER 20234/0073 | |
| <input type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO.....\$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)\$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00 | | | | CALCULATIONS | PTO USE ONLY |
| | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = \$ | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$65.00 | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | - 20 = | | X \$18.00 | \$ | |
| Independent Claims | - 3 = | | X \$84.00 | \$ | |
| Multiple dependent claim(s)(if applicable) | | | + \$280.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$65.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. | | | | \$ | |
| SUBTOTAL = | | | | \$65.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$65.00 | |
| | | | | Amount to be: | |
| | | | | refunded \$ | |
| | | | | charged \$ | |
| a. <input type="checkbox"/> A check in the amount of \$___ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 22-0185 in the amount of \$65.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0185 . A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status SEND ALL CORRESPONDENCE TO: Connolly Bove Lodge & Hutz LLP 1990 M Street, N.W., Suite 800 Washington, DC 20036-3425 | | | | | |
| | | |  SIGNATURE Morris Liss NAME 24,510 REGISTRATION NUMBER | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nigel Buchanan

Serial No.: 09/937,767

Filed: October 1, 2001

For: WRENCH

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:
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: Art Unit: To be assigned
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: Examiner: To be assigned
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: Atty Docket: 20234/0073
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**SECOND REQUEST FOR
SMALL ENTITY ASSERTION AND REQUEST FOR REFUND**

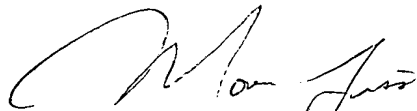
Commissioner for Patents
ATTN: FINANCE BRANCH
Washington, D.C. 20231

Sir:

Applicant of the above-identified patent application qualifies as a small entity. As such, Applicant requests a refund in the amount of \$528.00, which represents half of the government fee paid when this national phase application was filed. Applicant originally paid \$1,056.00 (\$890.00 for filing a national phase application, \$130 for late filing of Declaration, and \$36 for 2 claims in excess of 20 - $\$890 + 130 + 36 = 1,056/2 = \528).

It is therefore respectfully requested that the \$528.00 refund be credited to Deposit Account No. 22-0185.

Respectfully submitted,



Morris Liss, Reg. No. 24,510
Connolly Bove Lodge & Hutz LLP
1990 M Street, N.W.
Washington, D.C. 20036-3425
Telephone: 202-331-7111

Date: 2/22/02

missing requirements
1-1-02

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| <small>Commissioner for Patents, Trademark Office, Washington, D.C. 20231, www.uspto.gov</small> | | |
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/937,767 | Nigel Buchanan | 20234/0073 |
| INTERNATIONAL APPLICATION NO. | | |
| PCT/GB00/01204 | | |
| I.A. FILING DATE | PRIORITY DATE | |
| 03/29/2000 | 03/29/1999 | |

Morris Liss
 Connolly Bove Lodge & Hutz
 1990 M Street N W Suite 800
 Washinton, DC 20036-3425

CONFIRMATION NO. 5130
 371 FORMALITIES LETTER

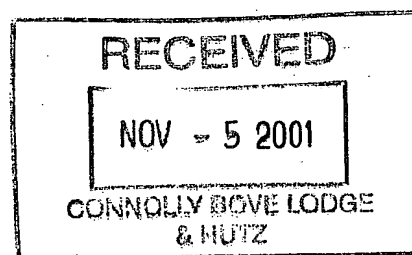


Date Mailed: 11/01/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/937,767 | PCT/GB00/01204 | 20234/0073 |